

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Satoshi MIKAMI

Application No. To Be Assigned

Filed: January 29, 2004

Art Unit: To Be Assigned

Examiner: To Be Assigned

For: DISPERSION COMPENSATION CONTROLLING APPARATUS AND DISPERSION  
COMPENSATION CONTROLLING METHOD

**INFORMATION DISCLOSURE STATEMENT**

Box IDS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

For insuring compliance with the applicant's duty of disclosure under 37 CFR § 1.56, the undersigned hereby submits the documents listed on the attached Form PTO-1449 for consideration by the Examiner in charge of the above-identified patent application.

These documents are being submitted (check only one of the next four boxes):

- ☒ within three months of the filing of the above U.S. national application or of the date of entry of the U.S. national stage in an International Patent Application (no fee is due);
- ☐ before receiving a first Office Action on the merits of the above-identified patent application; or before receiving a first Office Action after filing of a Request for Continued Examination (no fee is due);

☐ following receipt of a first Office Action, but before issuance of a Final Office Action or a Notice of Allowance (if this box is checked, one of the last three boxes also must be checked);

OR

☐ following receipt of a Notice of Allowance or a Final Office Action (if this box is checked, the next box and one of the last two boxes also must be checked).

☐ The Commissioner is hereby authorized to charge Deposit Account 19-5127, in the amount of \$180.00 for payment of the fee set forth in 37 CFR § 1.17(p).

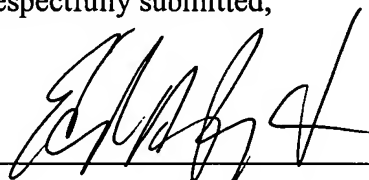
☐ The undersigned certifies that each item of this information is being submitted within three months of the date it was cited by a foreign patent office in a counterpart application.

☐ The undersigned certifies that, after making reasonable inquiry, he/she has no knowledge that any item of this information was cited by a foreign patent office in a counterpart application or was known more than three months prior to this submission.

The Commissioner is hereby authorized to charge payment of any deficiency in the above fee(s) or to charge any additional fees required under 37 CFR § 1.16 or 1.17 or credit any overpayment to Deposit Account No. 19-5127.

Respectfully submitted,

Date: January 29, 2004



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<b>FORM PTO-1449</b>  <b><u>INFORMATION DISCLOSURE CITATION</u></b>	Attorney Docket: 19546.0053 Applicants: Satoshi MIKAMI Filing Date: January 29, 2004	Application No.:  Examiner:  Group Art Unit:
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U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	REF. NO.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS
	AA	2002-0089724	07/11/02	H. Nishimoto, et al.	359	161
	AB	6,307,988 B1	10/23/01	B. Eggleton, et al.	385	37
	AC					
	AD					
	AE					
	AF					

FOREIGN PATENT DOCUMENTS							
	REF. NO.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	CA						
	CB						
	CC						
	CD						
	CE						
	CF						
	CG						
	CH						
	CI						
	CJ						

OTHER REFERENCES		
REF. NO.	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.	
CK	10/18/99 Pages 1367-1370, M. Shirasaki et al., "PROCEEDINGS APCC/OECC '99"	
CL	02/24/99 "OFC/100C '99"	
CM	11/12/98 Pages 2258-2259, "ELECTRONICS LETTERS"	
CN	09/20/98 Pages 519-520, IEEE, "ECOC '98"	
CO	09/20/98 Pages 150-152, IEEE, "ECOC '98"	
CP	02/16/97 Pages 155-156, OSA et al., "CONFERENCE ON OPTICAL FIBER COMMUNICATIONS"	
CQ		
CR		
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CU		
CV		
CW		
CX		
CY		
CZ		

Examiner	Date Considered
Examiner: Initial if reference consider, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Application	